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PATENT

Attorney Docket No.: 20563-000100US

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On

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TOWNSEND and TOWNSEND and CREW LLP

By:

Roslyn Rhodes

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Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

REDPATH et al.

Application No.: 09/696,538

Filed: October 25, 2000

For: SIMULATED TRADING SYSTEM
WITH AWARDS TO PARTICIPANTS
BASED ON PORTFOLIO NET PROFITS

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

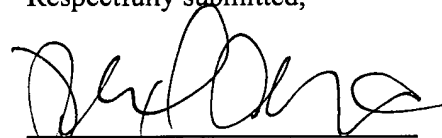
Sir:

The reference cited on attached form PTO-1449 is being called to the attention of the Examiner. A copy of the reference is enclosed. It is respectfully requested that the cited reference be expressly considered during the prosecution of this application, and the reference be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Philip H. Albert
Reg. No. 35,819

Date

3/5/01

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